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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/524,117	02/10/2005	Motofumi Kashiwagi	052137	2144

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EXAMINER

RAABE, CHRISTOPHER M

ART UNIT	PAPER NUMBER
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2879

MAIL DATE	DELIVERY MODE
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10/10/2007

PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary

Application No.

10/524,117

Applicant(s)

KASHIWAGI ET AL.

Examiner

Christopher M. Raabe

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☐ Responsive to communication(s) filed on ____.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-15 is/are pending in the application.
- 4a) Of the above claim(s) ____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) ____ is/are allowed.
- 6) ☒ Claim(s) 1-15 is/are rejected.
- 7) ☐ Claim(s) ____ is/are objected to.
- 8) ☐ Claim(s) ____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 10 February 2005 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some * c) ☐ None of:
- 1) ☒ Certified copies of the priority documents have been received.
 - 2) ☐ Certified copies of the priority documents have been received in Application No. ____.
 - 3) ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO/SB/08)
Paper No(s)/Mail Date 2/10/05.

- 4) ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date. ____.
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: ____.

DETAILED ACTION

Claim Rejections - 35 USC § 102

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

2. Claims 1-13 are rejected under 35 U.S.C. 102(b) as being anticipated by Tanaka et al. (JP 09-068605).

With regard to claim 1,

Tanaka et al. disclose in at least figure 1 and paragraphs 6,7, a lens array sheet (1) having a plurality of pyramid-shaped projections (3) or recesses on a surface of its transparent base material film (4).

With regard to claim 2,

Tanaka et al. disclose the lens array sheet as set forth in claim 1, wherein a bottom surface of the pyramid shape is a rectangular or square shape satisfying a relationship of (length "a" of one side) ≤ (length "b" of other side) ≤ 10a.

With regard to claim 3,

Tanaka et al. disclose the lens array sheet as set forth in claim 2, wherein the length "a" of one side of the bottom surface of the pyramid shape is 0.1 μm to 20 μm.

With regard to claim 4,

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Tanaka et al. disclose the lens array sheet as set forth in claim 3, wherein a height "c" of the pyramid shape is $0.2a \leq c \leq 2a$ with respect to the length "a".

With regard to claim 5,

Tanaka et al. disclose the lens array sheet as set forth in claim 3, wherein a width "s" between adjacent projections or recesses is not more than 50% of the length "a".

With regard to claim 6,

Tanaka et al. disclose the lens array sheet as set forth in claim 1, wherein a base angle θ of side surfaces of the pyramid shape is 20° to 80° .

With regard to claim 7,

Tanaka et al. disclose additionally in paragraph 10, the lens array sheet as set forth in claim 1, wherein the transparent base material film is composed substantially of an alicyclic olefin resin.

With regard to claim 8,

Tanaka et al. disclose the lens array sheet as set forth in claim 7, wherein the alicyclic olefin resin is a norbornene based polymer or a vinyl alicyclic hydrocarbon polymer.

With regard to claim 9,

Tanaka et al. disclose the lens array sheet as set forth in claim 1. The phrase produced by injection molding using a mold having pyramid-shaped projections or recesses on its

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surface" does not structurally distinguish the claimed invention over the prior art, as is required of apparatus claims.

With regard to claim 10,

Tanaka et al. disclose in paragraph 11, a mold, and provided with pyramid shaped projections on its surface. The phrases "made by a metal layer" and "wherein said metal layer is obtained by forming a metal layer on said pattern of a substrate formed with a pyramid-shaped concave pattern and peeling the metal layer from the substrate" do not structurally distinguish the claimed invention over the prior art, as is required of apparatus claims.

With regard to claim 11,

Tanaka et al. disclose the mold. The phrase "made by silicon formed by (1) a step of forming a positive type resist pattern on a silicon wafer formed on its surface with a silicon oxide layer, (2) a step of forming a silicon oxide pattern by performing etching on the silicon oxide layer by an etching solution containing hydrofluoric acid by using the resist pattern as a mask, (3) a step of removing the resist pattern and performing anisotropic etching on the silicon wafer surface by an alkaline solution to form pyramid-shaped recesses, and (4) a step of removing the silicon oxide pattern by an etching solution containing hydrofluoric acid is used" does not structurally distinguish the claimed invention over the prior art, as is required of apparatus claims.

With regard to claim 12,

Tanaka et al. disclose in at least paragraph 11, a mold made by a metal layer and provided with pyramid shaped projections on its surface. The phrase "wherein said metal layer

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is obtained, by using the mold as set forth in claim 10 or 11, by forming a metal layer on a surface of the mold and peeling the metal layer from the mold" does not structurally distinguish the claimed invention over the prior art, as is required of apparatus claims..

With regard to claim 13,

Tanaka et al. disclose a light condensing plate (1) composed of a lens array sheet as set forth in claim 1.

Claim Rejections - 35 USC § 103

3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

This application currently names joint inventors. In considering patentability of the claims under 35 U.S.C. 103(a), the examiner presumes that the subject matter of the various claims was commonly owned at the time any inventions covered therein were made absent any evidence to the contrary. Applicant is advised of the obligation under 37 CFR 1.56 to point out the inventor and invention dates of each claim that was not commonly owned at the time a later invention was made in order for the examiner to consider the applicability of 35 U.S.C. 103(c) and potential 35 U.S.C. 102(e), (f) or (g) prior art under 35 U.S.C. 103(a).

4. Claims 14,15 are rejected under 35 U.S.C. 103(a) as being unpatentable over Tanaka et al.(as above), in view of Cok (USPN 7011420).

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With regard to claim 14,15,

Tanaka et al. disclose a transparent substrate composed of a lens array sheet as set forth in claim 1.

While Tanaka et al. do not disclose the substrate to be used in conjunction with an organic electroluminescence element, Cok does disclose in at least figure 6, an analogous substrate to be used with a display device having an organic electroluminescence element, comprising, a transparent electrode layer (14) stacked on the transparent substrate (11), an organic electroluminescence material layer (12) stacked on the transparent electrode layer (11), and a metal electrode layer (16) stacked on the organic electroluminescence material layer (12), providing a light source. It would have been obvious to one of ordinary skill in the art at the time of the invention to incorporate the OLED of Cok with the substrate of Tanaka et al. in order to provide a light source.

Conclusion

5. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. USPN 5714218.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Christopher M. Raabe whose telephone number is 571-272-8434. The examiner can normally be reached on m-f 7am-3:30pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Nimesh Patel can be reached on 571-272-2457. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.



Peter Macchiarolo
Patent Examiner, Art Unit 2879

